



## nited States Patent and Trademark Office

Number:

10/075,086

Application. Filed:

02/10/02

Applicant(s):

Haw-minn Lu

Application. Title:

Fanout Upgrade for a Scalable Switching Network

RECEIVED

Examiner:

Seema Srinivas Rao

AUG 1 2 2004

Group Art Unit:

2666

**Technology Center 2600** 

Mailed:

2004 August 3

At:

San Diego, CA

## **Information Disclosure Statement**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR 1.97-98, the following information is brought to the attention of the Examiner. The item is listed on the attached Form PTO/SB/08B and a copy is enclosed for the convenience of the Examiner. The Applicant respectfully requests that the documents be made of record in the above-referenced application.

## CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this paper (along with anything referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

	Haw-minn Lu
	Name of Person Mailing Paper
August 3, 2004	
Date of Deposit	Signature of Person Mailing Paper

The Cizek, et al. reference was brought to the attention of the Applicant in an office action

mailed on May 3, 2004 by examiner Derrick W. Ferris directed towards U.S. Patent Application No.

09/897,263, filed on July 2, 2001 by the Applicant.

The item identified in this Information Disclosure Statement may or may not be "material"

pursuant to 37 CFR 1.56. The submission of this document thereof by the Applicant shall not be

construed as an admission that any such patent, publication or other information referred to therein is

material or considered to be material (37 CFR 1.97(h)), or even qualifies as "prior art" under 35

U.S.C. § 102 with respect to this invention unless specifically designated by the Applicant as such.

The filing of this Information Disclosure Statement shall not be construed to mean that a

search has been made or that no other material information, as defined in 37 CFR 1.56, exists.

The attached IDS is being filed in accordance with 37 CFR §§ 1.97 and 1.98. This IDS is

believed to be timely in that it is being submitted under 37 CFR § 1.97(b)(3), that is before the

mailing of a first Office Action on the merits. Thus, no petition or fee is required.

Respectfully submitted,

r : " t

Dated: \_August 3, 2004

Customer No. 36,215

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PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
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	Application Number	10/075,086	
<b>INFORMATION DISCLOSURE</b>	Filing Date	02/10/02	
STATEMENT BY APPLICANT	First Named Inventor	Haw-minn Lu	
(Use as many sheets as necessary)	Art Unit	2666	
(030 as many sneets as necessary)	Examiner Name	Seema Srinivas Rao	
Sheet 1 of 1	Attorney Docket Number		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		CIZEK, et al. "Tradeoff Between Cost and Reliability in Packet Switching MultiStage Interconnection Networks," AFRICON '92 Proceedings., 3rd AFRICON Conference, 22-24 Sept. 1992, pp. 365 -368, IEEE, South Africa (Reprinted US).	
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	<u> </u>	Technology Center 2600	

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

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20)	11.00	Application Number	10/075,086
TI	RANSMITTAL	Filing Date	02/10/02
	FORM	First Named Inventor	Haw-minn Lu
(to be used for	all correspondence after initial fi	filing) Art Unit	2666
		Examiner Name	Seema Srinivas Rao
Total Number of	Pages in This Submission	4 Attorney Docket Number	
		ENCLOSURES (Check all tha	nt apply)
Amendme Af Af Af Extension Express A X Information Certified O Documen Response Incomplet	ter Final fidavits/declaration(s) of Time Request shandonment Request on Disclosure Statement Copy of Priority	Drawing(s)  Licensing-related Papers  Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s)  Remarks  Information Disclosure Stapages.	After Allowance communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information  Status Letter Other Enclosure(s) (please Identify below): USPTO Form SB/08B (1pg); Cited References (1 reference total); Return Postcard  Status Letter AUG 1 2 2004 Technology Center 260
	SIGNAT	TURE OF APPLICANT, ATTORN	EY, OR AGENT
Firm or Individual name Signature	Haw-minn Lu		
Date	Date August 3, 2004		
	at this correspondence is be as first class mail in an envelow.		r deposited with the United States Postal Service with Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on
yped of printed i	Haw-minn Lu	u	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature

Date

August 3, 2004